



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Fisher, Wayne E.
Assignee: NEON Enterprise Software, Inc. (f/k/a Peregrine Bridge Transfer Corporation)
Title: Ensuring That A Database And Its Description Are Synchronized
Serial No.: 10/036,815 Filing Date: October 19, 2001
Examiner: Uyen T. Le Group Art Unit: 2171
Docket No.: 39802-P005US Confirmation No.: 4940
(f/k/a M-11460 US)

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September 24, 2004

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UNDER 37 CFR § 1.97(c) WITH FEE**

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These documents include documents that were cited in patent(s) and/or patent application(s) related to the present patent application.

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Michael P. Adams
Attorney for Applicant(s)
Reg. No. 34,763

CERTIFICATION UNDER 37 C.F.R. § 1.8

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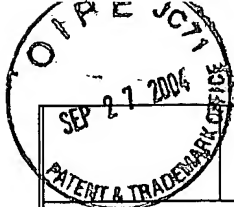
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U.S. Department of Commerce, Patent and Trademark Office						Atty Docket No.		Serial No.	
						39802-P005US		10/036,815	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicant(s)			
(Use several sheets if necessary)						Wayne E. Fisher			
						Filing Date		Group	
						10/19/01		2171	
U.S. Patent Documents									
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	AA	2003/0135478 A1	7/17/03	Marshall, et al.					
	AB	5,644,696	7/1/97	Pearson, et al.					
	AC	5,917,904	6/29/99	Theis					
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	AE	6,411,964 B1	6/25/02	Iyer, et al.					
	AF	2003/0088572 A1	5/8/03	Smith					
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	AM	2002/0059279 A1	5/16/02	Kim, et al.					
Foreign Patent Documents									
							Translation		
		Document	Date	Country	Class	Subclass	Yes	No	
	BA								
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)									
	CA	Testing for Indoubt Units of Work in a Transaction Processing System, 1 January 1996, IBM Technical Disclosure Bulletin, Vol. 39, No. 1, pp. 329-330.							
	CB	DL/1 Subset File Organization and Access Technique, 1 March 1980, IBM Technical Disclosure Bulletin, Vol. 22, No. 10, pp. 4702-4708.							
	CC	Gillenson, "Physical design equivalencies in database conversion," ACM 1990, pages 120-131.							
	CD	Lusk et al., "A practical design methodology for the implementation of IMS databases, using the entity-relationship model," ACM 1980, pages 9-21.							
	CE	Biliris et al., "A high performance configurable storage manager," IEEE 11995, pages 35-43.							
	CF	Park et al., "Optimal database reorganization policies: a stochastic control approach," IEEE 1989, pages 752-761.							

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CG	Wietrzyk et al., "ADDROL: a method for on-line reorganization in a multi-system DBMS with shared disks," IEEE 2000, pages 378-383.
Examiner	Date Considered
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.	

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